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POLICY FOR TREATMENT OF DORMANT ACCOUNTS

The provisions specified herein shall replace the provisions pertaining to dormant/inactive account as specified in the existing Policy of the Company for Prevention of Money Laundering.

A. DEFINITIONS

The following accounts shall be categorized as Dormant Accounts:

I. Trading account

A Trading account in which no transaction has been carried out for a period of more than 6 (six) calendar months shall be classified as a Dormant Account.

The Terms "Dormant" shall be used interchangeably.

B. TREATMENT OF DORMANT ACCOUNTS

Transactions in Dormant Trading Accounts

In case of dormant trading accounts in which no transaction has been placed during the last 6 (six) calendar months, the account of the client shall be locked and the client shall not be permitted to execute a fresh transaction in the account unless the client provides either of the following:

- An e-mail request to reactive the account and process the transaction. Such e-mail requests shall be sent only from the e-mail id of the Client registered with DJS Stock & Shares Ltd; or
- A written request to reactive the account and process the transaction duly Signed by Client and submitted to DJS Stock & Shares Ltd; or
- A telephonic request to reactive the account and process the transaction. Such telephonic request shall be at the specified number of DJS Stock & Shares Ltd for which voice recording is active. Further the request shall be processed only after the client provides additional identification as required.

C. MONITORING OF TRANSACTIONS

- Sudden activity in dormant accounts may be viewed as a suspicious Transaction
- Evaluation for dormant account will be done every calendar quarter

For DJS Stock & Shares Ltd



Director